¹³C nuclear magnetic resonance study of structure of poly(4-methyl-1-pentene) prepared by cationic polymerization

Akira Mizuno*† and Hideshi Kawachi‡

† Materials Science and Analysis Laboratories, ‡Iwakuni Polymer Research Laboratories, Mitsui Petrochemical Industries Ltd, Waki-cho, Kuga-gun, Yamaguchi-ken 740, Japan (Received 17 September 1990; revised 26 October 1990; accepted 9 November 1990)

The structures of three poly(4-methyl-1-pentene) samples prepared with an AlCl₃ catalyst in C_2H_5Cl solvent at -78, -50 and $+5^{\circ}C$ were studied by ^{13}C nuclear magnetic resonance spectroscopy. Surprisingly, no detectable amount of the 1,2-unit was observed in these three polymers, meaning that the isomerization reaction takes place much faster than the propagation reaction even at $+5^{\circ}C$. The four structural units previously known were confirmed in this work. In addition, a new structural unit, which implies successive hydride, methide and hydride shifts, was found.

(Keywords: ¹³C nuclear magnetic resonance; poly(4-methyl-1-pentene); isomerization; cationic polymerization)

INTRODUCTION

The cationic polymerization of 4-methyl-1-pentene has been studied for nearly three decades¹⁻⁷. This polymer is of particular interest because it involves various structural units produced by the extensive isomerization of the growing carbocation derived from 4-methyl-1pentene. Over the years, the structure of the polymer has been examined, from which various mechanisms have been proposed⁵⁻⁷.

In the present study, we have prepared polymers from this α -olefin by cationic polymerization with AlCl₃ catalyst in C₂H₅Cl solvent and re-examined their structures by high-field ¹³C n.m.r. spectroscopy. On the basis of detailed n.m.r. information on the structural units of the polymer, a mechanism that consists of cationic rearrangements involving hydride and methide shifts was proposed.

EXPERIMENTAL

Cationic polymerization of 4-methyl-1-pentene

Cationic polymerization of 4-methyl-1-pentene was carried out in C_2H_5Cl at the chosen temperatures of -78, -50 and $+5^{\circ}C$ by AlCl₃ under a dry nitrogen atmosphere. Reaction conditions were as follows: [4-methyl-1-pentene]₀ = 1.0 M; [AlCl₃]₀ = 10 mM; initial volume of $C_2H_5Cl = 102$ ml; reaction time = 6 h; reaction scale = 500 ml.

For the polymerization, the solvent and the catalyst were placed in an all-glass apparatus consisting of a 500 ml three-necked round-bottomed flask, fitted with a thermometer, a mechanical stirrer and a monomer charging funnel, then cooled to the desired temperature, followed by stirring for an hour. After that, the monomer solution in n-hexane $(1.0 \text{ mol}/240 \text{ cm}^3)$ was added slowly and dropwise to this mixture over a period of 6 h so as to initiate polymerization under temperature control. The reaction was terminated with 1 mol of methanol. The quenched polymer solution was washed with hot water to remove the catalyst residue, and the product was recovered by precipitation into precooled methanol and was dried in vacuum.

^{13}C n.m.r. analysis

A polymer solution was prepared by dissolving $\sim 100 \text{ mg}$ of a polymer sample at 50°C in deuteriochloroform. ¹³C n.m.r. spectra were recorded on a JEOL GX-270 spectrometer operating at 67.8 MHz under proton noise decoupling in Fourier-transform mode. Instrumental conditions were as follows : pulse angle, 45°; pulse repetition time, 5.0 s; spectral width, 5500 Hz; number of scans, 10000; data points, 64000. The ¹H decoupling distortionless enhancement by polarization transfer (d.e.p.t.) method was also employed to discriminate among carbon species.

G.p.c. analysis

The average molecular weight of the polymer sample was determined by g.p.c. (Tosoh Ltd, model HLC-802UR) using G4000H8, G3000H8 and G2000H8 \times 2 columns and tetrahydrofuran as solvent at 40°C. The molecular weight was estimated on the basis of a polystyrene standard.

RESULTS AND DISCUSSION

Structural analysis by ¹³C n.m.r.

Cationic polymerizations of 4-methyl-1-pentene were carried out at the temperatures of -78, -50 and $+5^{\circ}$ C. The results of polymerization are listed in *Table 1*, showing that the polymer molecular weight depends strongly upon the polymerization temperature in this range, i.e. being high ($\overline{M}_{w} = 114 \times 10^{3}$) at -78° C but

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^{*} To whom correspondence should be addressed



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 Table 1
 Results of cationic polymerization of 4-methyl-1-pentene



low $(\overline{M}_w = 6 \times 10^3)$ at $+5^{\circ}$ C, as reported in the literature⁷.

The structures of the polymers were investigated by 13 C n.m.r. spectroscopy. The observed spectra of two samples prepared at -78 and $+5^{\circ}$ C are shown in *Figure 1*. The 13 C spectral features of the sample prepared at -50° C are almost the same as those of the other one prepared at -78° C. Also, these two 13 C spectra are essentially the same as those shown in the literature^{6.7}.



Figure 2 (A) 13 C n.m.r. and (B) 1 H-decoupled 13 C d.e.p.t. spectra of poly(4-methyl-1-pentene) prepared at -78° C

This indicates that the polymers prepared at -50 and -78° C in this work are fundamentally the same as those polymerized in C₂H₅Cl in this temperature range in the previously reported studies, although our polymerization procedure is exactly the opposite to that adopted by Ferraris *et al.*⁷, where a catalyst solution (AlCl₃ in C₂H₅Cl) was added to a monomer solution.

For the structural analysis of the polymer, first of all the ¹³C n.m.r. spectrum of the polymer obtained at -78° C was also measured by the d.e.p.t mode in order to distinguish the types of carbon species. The observed d.e.p.t. spectrum is presented together with the ordinary spectrum in *Figure 2*. It is noticeable that the two peaks at 22.1 and 24.7 ppm, both of which had been assigned to the methyl and methine groups of the 1,2-added 4-methyl-1-pentene unit, respectively^{6,7}, were assigned to methylene groups by the measurement using d.e.p.t. pulse sequence. This result is particularly surprising, because it indicates that the 1,2-added 4-methyl-1-pentene unit (A) is not present in these polymers.



Accordingly, we believe that the ¹³C n.m.r. peak assignments concerning the 1,2-unit in the literature^{6,7} are wrong. The detailed assignments of the methylene peaks mentioned above will be discussed in the latter part of this section.

The peaks at 27.4, 19.4, 16.7/14.6 and 22.7 ppm were assigned, respectively, to the methyl groups of units B, C, D and E that Ferraris *et al.* have proposed⁷:



The appearance of two methyl peaks at 16.7 and 14.6 ppm of unit D reflects the difference in configurational placements, i.e. meso and racemic placements, as follows:

where the methyl group with the higher-field shift belongs to the racemic structure^{8,9}.

Also, as shown in spectrum B in Figure 1, the methyl peak at 22.7 ppm (unit E) is associated with the methine peaks resonating at 28.0–28.6 ppm, because these peaks, which are assigned to methyl and methine peaks of the isoamyl group⁷, are noticeably increased with respect to the corresponding peaks in spectrum A, confirming the presence of unit E.

Moreover, the methyl groups seen at 8.0 and 25.2 were assigned to the structure shown below:



from the chemical-shift calculation by using the Lindeman-Adams rule¹⁰.

From the discussion above, there exist six unit structures (B, C, Dm, Dr, E and F) in poly(4-methyl-1pentene) obtained by cationic polymerization. The methyl peak of each unit structure is marked with B-F in Figure 1.

Now that all the unit structures have been identified, the methylene peaks appearing in the range between 18 and 26 ppm in Figure 1 are relatively easy to assign due to less peak overlapping.

Ferraris et al. have already assigned the methylene peaks at 18.4 ppm to the circled atom in the dyad structure $-C-\overline{C}$ shown below:

from both the chemical-shift calculation and the n.m.r. measurement of hydrogenated 1,4-poly(4-methyl-1,3pentadiene) as a model compound⁷.

In this study, we propose that the methylene peak at 22.1 ppm, which had been assigned to the methyl group of the 1,2-unit can be assigned to the carbon atoms indicated by circles in the triad structures such as -B-C-B(or C or D)-, -D-C-B(or C or D)- shown below:



$$X = CH (i-Pr)$$
 or $CH_2C(CH_3)_2$ or $CH(CH_3)CH(CH_3)$

the chemical shifts of which are calculated to be 22.39 and 22.14 ppm, respectively, by using the Lindeman-Adams rule¹⁰.

Similarly, the methylene peak at 24.7 ppm can be assigned to the circled carbon atom in the triad structures such as -C-B-B(or C or D)- shown below:





the chemical shift of which is calculated to be 26.15 ppm from the Lindeman-Adams rule¹⁰.



Figure 3 The scheme of isomerization reactions in cationic polymerization of 4-methyl-1-pentene with AlCl₃ catalyst in C₂H₅Cl solvent, where $K_{\rm B}$, $K_{\rm C}$, etc. are rate constants and ${\rm B}^-$ is a counteranion

Table 2 Composition of structural units in poly(4-methyl-1-pentene) prepared at various polymerization temperatures

Run no.	Temperature (°C)	Structural unit ^a (%)					
		B	С	Dm	Dr	E	F
1	- 78	16	47	10	16	10	1
2	- 50	15	47	10	16	10	2
3	+5	7	44	8	13	26	2

"The structural units are shown below:





Dr

F



These methylene peak assignments strongly support our conclusion because the polymer must have some methylene carbons resonating in the relatively high-field range as discussed above from the viewpoint of the chemical structure.

In addition, Ferraris et al. reported that the methyl peak of unit A resonates as 22.1 ppm, while the corresponding one of unit E resonates at 22.7 ppm. However, these n.m.r. data are in conflict with the chemical-shift calculation because the methyl group of the former unit with two δ -carbons resonates at lower field than that of the latter unit having one δ -carbon by 0.49 ppm, according to the table of Lindeman and Adams¹⁰. Since unit E has been confirmed as previously mentioned, the n.m.r. assignment of unit A is wrong. This result also reinforces our conclusion that the initially formed 1,2-type growing carbocation completely isomerizes to other carbocations leading to the unit structures B-F.

The contents of the units B-F were determined from the relative peak areas of methyl groups according to the method proposed by Ferraris et al.⁷. The results are summarized in Table 2. As shown, the polymers prepared at -50 and -78° C have nearly the same composition, while the polymer at $+5^{\circ}$ C possesses a relatively higher content of unit E and lower content of unit B, which implies that the isomerization reaction is affected by temperature in the range between $+5^{\circ}$ C and -50° C.

Reaction mechanism

The present n.m.r. data show that the isomerization reaction takes place much faster than the propagation reaction even at 5°C and as a result no detectable amount of 1,2-added 4-methyl-1-pentene unit is formed.

In Figure 3 is shown the scheme of the isomerization reactions in the cationic polymerization of 4-methyl-1pentene. The carbocation structure (A^+) , which

possesses a secondary ion bonding to two methylene groups, is the most unstable among the carbocation structures $(A^+)-(F^+)$ in *Figure 3*, resulting in the rapid isomerization to (B^+) and (E^+) .

Moreover, the present n.m.r. data show that the rate constant ratio K_E/K_B in *Figure 3* becomes higher as the reaction temperature rises above -50° C, because the E unit increases in the polymer produced at 5°C as shown in *Table 2*. After attacking the carbocation (D⁺) on a 4-methyl-1-pentene monomer, the Dr unit structure is formed more favourably than the Dm one owing to less steric hindrance of two neighbouring methyl groups, leading to *meso*/racemic placements in a 2/3 ratio.

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